Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FINA Form 4	ANCIAL CORP	•								
June 12, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								-	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this								Expires:	January 31,	
if no longer subject to Section 16. STATEMENT OF CHANG				GES IN BENEFICIAL OWNERSHIP O SECURITIES				Estimated average burden hours per		
Form 4 or								response 0.5		
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section 17((a) of the	Public Ut	ility Hold	ing Com		ge Act of 1934, ff 1935 or Sectio 40	n		
(Print or Type Ro	esponses)									
BROWN MICHAEL W Syml			Symbol	Name and		C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			STIFEL	FINANC	CIAL CO	RP [SF]				
(Last) (First) (Middle) 3. Dat			3. Date of	Earliest Tra	ansaction					
			(Month/Day/Year) 06/12/2013				X_ Director10% Owner Officer (give title below) Other (specify below)			
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				th/Day/Year)	-		Applicable Line)			
EASTSOUN	D, WA			•			_X_ Form filed by Form filed by M Person	One Reporting Po More than One Ro		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	 Execution any 	emed on Date, if Day/Year)	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, -	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock							4,758	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numb out f Deriv Securitie Acquired (A) or Disposed (D) (Instr. 3, and 5)	ative es d d of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De See (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	06/12/2013		А	3,750		<u>(1)</u>	(2)	Common Stock	3,750	
Stock Option (Option to Buy)	\$ 29.33						(3)	05/19/2018	Common Stock	5,115	
Stock Option (Option to Buy)	\$ 88.42						(3)	05/23/2017	Common Stock	2,381	

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROWN MICHAEL W 1020 DEER HARBOR ROAD EASTSOUND, WA	Х						
Signatures							
By: David M. Minnick For: Mic Brown		06/12/2013					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.
- (3) Currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.