Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FINAN Form 4 March 17, 2015										
FORM 4	Л						-	PPROVAL		
	• UNITED S		RITIES A ashington,			E COMMISSION	OMB Number:	3235-0287		
Check this be if no longer subject to Section 16. Form 4 or	ENT OF CHA	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: January 31, 2005 Estimated average burden hours per response 0.5			
Form 5 obligations may continue <i>See</i> Instruction 1(b).										
(Print or Type Resp	ponses)									
1. Name and Addr ZEMLYAK JA	Symbol	er Name and EL FINANC		-	Issuer	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle) 3. Date of Earliest Tra				in [or]	(Chec	(Check all applicable)				
	CIAL PLAZA, 5	(Month	/Day/Year)	ansaction		X Director X Officer (give below) CHIEF FIN		% Owner er (specify OFFCR		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ST. LOUIS, M	0 63102-2102						fore than One Re			
(City)	(State) (Z	Zip) Ta	ble I - Non-D	erivative S	ecurities	Acquired, Disposed of	f, or Beneficia	lly Owned		
	. Transaction Date Month/Day/Year)		Code r) (Instr. 8)		(A) or of (D) 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock			Code V	Amount	(D) Pr	rice	D			
Common Stock						3,310	I	by Son		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	03/13/2015		А	8,486	<u>(1)</u>	(2)	Common Stock	8,486	\$
Phantom Stock Units	\$ 0	03/13/2015		А	2,715	(3)	(2)	Common Stock	2,715	\$
Phantom Stock Units	\$ 0	03/13/2015		А	678	<u>(4)</u>	(2)	Common Stock	678	\$
Phantom Stock Units	\$ 0	03/13/2015		А	2,230	<u>(1)</u>	(2)	Common Stock	2,230	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ZEMLYAK JAMES M ONE FINANCIAL PLAZA 501 N. BROADWAY ST. LOUIS, MO 63102-2102	Х		CHIEF FIN'L & ADMIN OFFCR			
• •						

Signatures

JAMES M ZEMLYAK 03/17/2015 **Signature of Reporting Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Units vest in 20% increments over a five year period.

- (2) No expiration date for these Units.
- (3) Fully vested.
- (4) Units vest 100% after five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.