Edgar Filing: SL INDUSTRIES INC - Form NT 11-K

SL INDUSTRIES INC Form NT 11-K July 01, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 12b-25

Commission File Number: 1-4987

COMMITSSION FILE NUMBER. 1-4767
NOTIFICATION OF LATE FILING
(Check One): [] Form 10-K [X] Form 11-K [] Form 20-F [] Form 10-Q [] Form N-SAR
FOR PERIOD ENDED DECEMBER 31, 2001
[] Transition Report on Form 10-K
For the Transition Period Ended:
Read attached instructions sheet before preparing form. Please print of type.
Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.
If the notification relates to a portion of the filing checked above, identify the ${\sf Item}(s)$ to which the notification relates:
PART I REGISTRANT INFORMATION
Full name of registrant: SL INDUSTRIES SAVINGS AND PENSION PLAN
Former name if applicable
Address of principal executive office (Street and number) 520 FELLOWSHIP ROAD, SUITE A114
City, state and zip code MT. LAUREL, NJ 08054

Edgar Filing: SL INDUSTRIES INC - Form NT 11-K

PART II RULES 12b-25 (b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate.)

- [X] (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- [X] (b) The subject annual report, semi-annual report, transition report on Form 10-K, 20-F, 11-K or Form N-SAR, or portion thereof will be filed on or before the 15th calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and
- [] (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III NARRATIVE

State below in reasonable detail the reasons why Form 10-K, 11-K, 20-F, 10-Q, N-SAR or the transition report or portion thereof could not be filed within the prescribed time period.

THE REGISTRANT WAS UNABLE TO FILE THE FORM 11-K FOR THE FISCAL YEAR ENDED DECEMBER 31, 2001 (THE "REPORT") DUE TO THE RECENT INDICTMENT AND COLLAPSE OF ITS INDEPENDENT AUDITOR, ARTHUR ANDERSEN LLP, WHICH REQUIRED THAT A NEW AUDITING FIRM BE IDENTIFIED AND RETAINED. THIS DISRUPTION CAUSED UNAVOIDABLE DELAYS IN AUDITING THE FINANCIAL INFORMATION OF THE REGISTRANT TO BE INCLUDED IN THE REPORT.

PART IV OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification $\ensuremath{\mathsf{N}}$

DAVID NUZZO	(856)	727-1500
(Name)	(Area Code)	(Telephone Number)

(2) Have all other periodic reports required under Section 13 or $15\,(d)$ of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If the answer is no, identify report(s).

|X| Yes |_| No

Edgar Filing: SL INDUSTRIES INC - Form NT 11-K

(3) Is it anticipated that any significant change in results of operations for the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

|_| Yes |X| No

SL INDUSTRIES, INC.

(Name of Registrant as Specified in Charter)

Has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 1, 2002 By: /s/ David Nuzzo

David Nuzzo

Chief Financial Officer

INSTRUCTION. The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

ATTENTION

Intentional misstatements or omissions of fact constitute Federal criminal violations. (See 18 U.S.C. 1001)

3