Edgar Filing: Aldeyra Therapeutics, Inc. - Form SC 13G/A

Aldeyra Therapeutics, Inc. Form SC 13G/A January 22, 2019 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 SCHEDULE 13G (Rule 13d-102) INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1(b),(c) AND (d) AND AMENDMENTS THERETO FILED PURSUANT TO RULE 13d-2(b) (Amendment No. 1)<sup>1</sup> Aldeyra Therapeutics, Inc. (Name of Issuer) Common Stock, \$.001 par value (Title of Class of Securities) 01438T106 (CUSIP Number) December 31, 2018 (Date of Event Which Requires Filing of this Statement) Check the appropriate box to designate the rule pursuant to which this Schedule is filed: [ ] Rule 13d-1(b) [ ] Rule 13d-1(c) [X] Rule 13d-1(d)

<sup>&</sup>lt;sup>1</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page. The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

NAME OF **REPORTING PERSON** S.S. OR I.R.S. **IDENTIFICATION** 1. NO. OF ABOVE **PERSON** Johnson & Johnson EIN: 22-1024240 **CHECK** THE **APPROPRIATE** BOX IF A (a) [ ] 2. MENBER] OF Α **GROUP** SEC USE ONLY 3. CITIZENSHIP OR PLACE OF **ORGANIZATION** 4. New Jersey **SOLE VOTING POWER** 5. -0-**SHARED VOTING** NUMBER OF **POWER SHARES BENEFICIALLY** 1,050,292\* OWNED BY 7. SOLE **EACH DISPOSITIVE REPORTING PERSON POWER** 

WITH

-0-

SHARED DISPOSITIVE POWER

8.

1,050,292\*

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH

9. REPORTING

**PERSON** 

1,050,292\*

CHECK BOX IF THE

AGGREGATE

**AMOUNT** 

10. IN [ ] ROW

(9)

EXCLUDES CERTAIN SHARES

PERCENT OF

**CLASS** 

REPRESENTED

BY AMOUNT IN

ROW (9)

4.0% \*\*

TYPE OF REPORTING PERSON

11.

12.

CO

<sup>\*</sup> As of December 31, 2018.

<sup>\*\*</sup> Based on 26,244,434 shares of Common Stock outstanding as of November 14, 2018, as reported in the Issuer's Report on Form 10-Q for the period ended September 30, 2018 filed with the Securities and Exchange Commission on November 14, 2018.

1.	NAME OF REPORTING PERSON S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
	Johnson & Johnson Innovation-JJDC, Inc. EIN: 22-2007137
2.	CHECK THE APPROPRIATE BOX IF A (a) [ ] MEMBER] OF A GROUP
3.	SEC USE ONLY
4.	CITIZENSHIP OR PLACE OF ORGANIZATION New Jersey
	SOLE VOTING POWER 50-
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON	SHARED VOTING POWER  1,050,292*  7.
WITH	

**SOLE** 

DISPOSITIVE

**POWER** 

-0-

**SHARED** 

**DISPOSITIVE** 

POWER

1,050,292\*

**AGGREGATE** 

**AMOUNT** 

**BENEFICIALLY** 

OWNED BY EACH

9. REPORTING

**PERSON** 

1,050,292\*

**CHECK** 

BOX

IF

THE

**AGGREGATE** 

**AMOUNT** 

10. IN [ ]

ROW

(9)

**EXCLUDES** 

**CERTAIN** 

**SHARES** 

PERCENT OF

**CLASS** 

REPRESENTED

BY AMOUNT IN

ROW (9)

4.0% \*\*

TYPE OF

**REPORTING** 

PERSON

CO

11.

12.

<sup>\*</sup> As of December 31, 2018.

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\*\* Based on 26,244,434 shares of Common Stock outstanding as of November 14, 2018, as reported in the Issuer's Report on Form 10-Q for the period ended September 30, 2018 filed with the Securities and Exchange Commission on November 14, 2018.

#### AMENDMENT NO. 1 TO SCHEDULE 13G (FINAL AMENDMENT)

Reference is hereby made the statement on Schedule 13G filed with the Securities and Exchange Commission by the Reporting Persons with respect to the Common Stock of the Issuer on February 5, 2015 (the "Schedule 13G"). Terms defined in the Schedule 13G are used herein as so defined.

The following items of the Schedule 13G are amended and restated to read in their entirety as follows:

### **ITEM**

2(b)

ADDRESS OF PRINCIPAL BUSINESS OFFICE:

J&J: One Johnson & Johnson Plaza, New Brunswick, NJ 08933 JJDC: 410 George Street, New Brunswick NJ 08901

**OWNERSHIP:** 

(a) through (c)

ITEM 4

The information set forth in the cover pages to this Amendment No. 1 to Schedule 13G is incorporated herein by reference thereto.

OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS:

ITEM 5 If this statement is being filed to report the fact that the Reporting Persons have ceased to be beneficial owners of more than five percent of the Common Stock, check the following: [X].

### **SIGNATURE**

After reasonable inquiry and to the best of their knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Dated: January 22, 2019

#### **JOHNSON & JOHNSON**

By: /s/ Thomas J. Spellman III Name: Thomas J. Spellman III

Title: Secretary

JOHNSON & JOHNSON INNOVATION-JJDC, INC.

By: /s/ Kevin Norman Name: Kevin Norman Title: Assistant Secretary